

**READ INSTRUCTIONS ON BACK OF LAST PAGE BEFORE COMPLETING  
TRANSCRIPT ORDER**

District Court Southern District of Texas, Houston Division	District Court Docket Number 4:09-cv-3674
Short Case Title <u>SEC vs. Kaleta</u>	Court Reporter _____
Date Notice of Appeal Filed by Clerk of District Court <u>08/31/2012</u>	Court of Appeals # <u>12-20633</u> <small>(If Available)</small>

PART I. (To be completed by party ordering transcript. Do not complete this form unless financial arrangements have been made.)

A. Complete one of the following:

- No hearings
- Transcript is unnecessary for appeal purposes
- Transcript is already on file in Clerk's office
- This is to order a transcript of the following proceedings: (check appropriate box)
  - Voir dire ; Opening statement of plaintiff ; defendant ;
  - Closing argument of plaintiff ; defendant ; Opinion of court ;
  - Jury instructions ; Sentencing ; Bail hearing ;

**United States Courts  
Southern District of Texas  
FILED**

**SEP 18 2012**

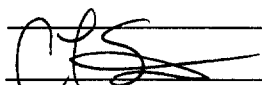
**Draft & Binding Clerk of Court**

HEARING DATE(S)	PROCEEDING	JUDGE/MAGISTRATE
<u>08/01/2012</u>	<u>Resumed Conference on Motion to Reconsider</u>	<u>Atlas</u>

**FAILURE TO SPECIFY IN ADEQUATE DETAIL THOSE PROCEEDINGS TO BE TRANSCRIBED, OR FAILURE TO MAKE PROMPT SATISFACTORY FINANCIAL ARRANGEMENTS FOR TRANSCRIPT, ARE GROUNDS FOR DISMISSAL OF THE APPEAL.**

B. This is to certify that satisfactory financial arrangements have been completed with the court reporter for payment of the cost of the transcript. The method of payment will be:

- Private funds;  Criminal Justice Act Funds (Attach copy of CJA Form 24 to court reporter's copy);
- Other IFP Funds;  Advance Payment waived by reporter;  U.S. Government Funds;
- Other

Signature <u></u>	Date Transcript Ordered <u>09/18/2012</u>
Print Name <u>C. Thomas Schmidt</u>	Counsel for <u>Objecting Investors</u>
Address <u>3701 Kirby Drive, Suite 845; Houston, Texas 77098</u>	Phone Number <u>+1 (713) 568-4898</u>

**ALLOWANCE BY THE COURT FOR LEAVE TO PROCEED IN FORMA PAUPERIS IN A CIVIL APPEAL  
DOES NOT ENTITLE THE LITIGANT TO HAVE TRANSCRIPT AT GOVERNMENT EXPENSE.**

PART II. COURT REPORTER ACKNOWLEDGEMENT (To be completed by the Court Reporter and forwarded to the Court of Appeals within 7 days after receipt. Read instruction on reverse side of copy 4 before completing.)

Date transcript order received	If arrangements are not yet made, date contact made with ordering party re: financial arrangements	Estimated completion date *	Estimated number of pages
<u>9-18-12</u>		<u>10-20-12</u>	

- Satisfactory Arrangements for payment were made on 9-20-2012
- Arrangements for payment have not been made. Reason:  Deposit not received  Unable to contact ordering party  Other (Specify) \_\_\_\_\_

Date <u>9-20-12</u>	Signature of Court Reporter <u></u>	Telephone <u>713 250 5207</u>
Address of Court Reporter: _____		

**\* Do not include an estimated completion date unless satisfactory financial arrangements have been made or waived.**

PART III. NOTIFICATION THAT TRANSCRIPT HAS BEEN FILED IN THE DISTRICT COURT (To completed by court reporter on date of filing transcript in District Court and notification must be forwarded to Court of Appeals on the same date.)

This is to certify that the transcript has been completed and filed with the District Court today.

Actual Number of Pages _____	Actual Number of Volumes _____
Date _____	Signature of Court Reporter _____